

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hsu, et al.

Serial No.: 09/939,323

Confirmation No.: 3877

Filed:

August 24, 2001

For:

Method of Dishing Reduction and Feature

Passivation in Polishing

Processes

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Examiner: Robert M. Kunemund

Group Art Unit: 1765

MAIL STOP RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on February 9, 2004 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV349852090US addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 9, 2004 Date

Signature

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 50-1074/5617.Y1/CMP/CMP/RKK.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of | 1

Application Number 09/939,323

Filing Date AUGUST 24, 2001

First Named Inventor WEI-YUNG HSU, ET AL.

Group Art Unit 1765

Examiner Name ROBERT M. KUNEMUND

Attorney Docket Number AMAT/5617.Y1/CMP/CMP/RKK

Submission Date February 9, 2004

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		.	U.S. PATENT	DOCUMENTS	-
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-6,582,579 B1	06/24/2003	UZOH	
	A2	US-6,455,425 B1	09/24/2002	BESSER, ET AL.	
	АЗ	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
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	A13	US-			
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	A17	US-			
	A18	US-			

	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No. ¹	Foreign Patent Document Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶					
	B1	01/78135 A2	10/18/2001	wo							
	B2										
	B3										
	B4										
	B5										

Examiner Date Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO